consideration. We illustrate their potential in characterizing and, where possible, identifying certain minimal structures. Further, while these methods are introduced in a purely topological setting, we show that they have a strong order-theoretic appeal. Their topological significance has a direct order-theoretic translation when we regard the space as a partially-ordered set.

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#### **Abstract of Doctoral Thesis**

# DIMENSIONS OF COMMUTATIVE MATRIX ALGEBRAS

#### Susan Lazarus

This thesis was prepared under the direction of Professor T. Laffey in University College Dublin. The external examiner was Professor José Dias de Silva and the degree of Ph.D. was awarded by the NUI in Autumn 1991.

Let F be a field and let  $M_n(F)$  be the algebra of  $n \times n$  matrices over F. Let  $A, B \in M_n(F)$  with AB = BA and let A be the algebra generated by A and B over F. A theorem of Gerstenhaber [Ann. Math. 73: 324-348 (1961)] states that the dimension of  $\mathcal{A}$ is at most n. Gerstenhaber's proof uses the methods of algebraic geometry. In Chapter I of this thesis, we obtain a purely matrixtheoretic proof of the result, constructing in the process a basis for the algebra, A. We also examine when equality occurs. The case where F is algebraically closed and A is indecomposable (under similarity) holds the key to the general situation. In this case, we obtain a Cayley-Hamilton-like theorem expressing  $B^k$  as a polynomial in  $I, B, \ldots, B^{k-1}$  with coefficients in F[A], where k denotes the number of blocks in the Jordan form of A. If all Jordan blocks of A have the same size, we say A is homogeneous. In this case we obtain a nonderogatory-like condition on B which is equivalent to  $\dim_F A = n$ . We also show that in this case,  $\dim_F A = n$  is equivalent to the maximality of A as a commutative subalgebra of  $M_n(F)$ .

In Chapter II we examine the dimensions of three-generated commutative subalgebras of  $M_n(F)$ . Let A, B and  $C \in M_n(F)$  be pairwise commutative, and let A be the algebra generated by A, B and C over F. It is an open question whether or not the dimension of A is bounded above by n. Again, the case where

F is algebraically closed and  $\mathcal{A}$  is indecomposable holds the key concepts. If A, say, has r Jordan blocks, with the biggest Jordan block of size  $k \times k$ , then it is shown that generally  $\dim_F \mathcal{A} \leq \{nk, kr(r+1)/2\}$ . In the homogeneous case, it is shown that  $\dim_F \mathcal{A} \leq n^{3/2}$ , and if  $\mathcal{A}$  has fewer than four Jordan blocks, then  $\dim_F \mathcal{A} \leq n$ . Further if the exponent of the algebra  $\mathcal{A}$  is also k (i.e.  $\mathcal{A}^k = 0$ ), then it is shown that for n < 30,  $\dim_F \mathcal{A} \leq n$ . In case  $\mathcal{A}$  is homogeneous, then each matrix in  $\mathcal{A}$  can be considered as an element of  $M_r(F[J])$  (where  $A = J \oplus \cdots \oplus J$ , r blocks of  $J = J_k$ , the  $k \times k$  Jordan block with associated eigenvalue zero). It is shown that if B is a Wasow matrix over the local commutative ring F[J], i.e., B is similar over F[J] to a matrix in rational canonical form, then again in this case the dimension of  $\mathcal{A}$  cannot exceed n.

Let A be a commutative subalgebra of  $M_n(F)$ , and say the centralizer of A, C(A), is contained in A. Then A is said to be a maximal commutative subalgebra of  $M_n(F)$ . We define the exponent of A to be the smallest positive integer k such that  $x_1 \dots x_k = 0$  for all  $x_1, \dots x_k$  in the radical of  $\mathcal{A}$ . In Chapter III we study the dimensions of maximal commutative subalgebras of  $M_n(F)$ . A classical result of Schur states that  $\dim_F A < [1 +$  $n^2/4$ ], where [ ] denotes the greatest integer function. Courter [Duke Math. J. 32:225-232 (1965)] proved if  $\mathcal{A}$  has exponent two then  $\dim_F A \geq n$ . Laffey [Linear Alg. Appl. 71:199-212 (1985)] showed that generally  $\dim_F A \leq (2n)^{2/3} - 1$ , and if A has exponent three then the best possible lower bound is  $[3n^{2/3}-4]$ . We create a sequence of maximal commutative subalgebras  $A_n$ , each with exponent four, with  $\dim_F A_n$  of the order of  $n^{2/3} - n^{1/3}$ , in the limit. On the other hand, if the exponent of  ${\mathcal A}$  is greater than or equal to n-3, and the characteristic of F does not divide n!, then we show that  $\dim_F A$  is either n, n+1 or n+2.

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#### Research Announcement

## PREDUALS OF SPACES OF HOLOMORPHIC FUNCTIONS

### Christopher Boyd

For U an open subset of a locally convex space E we denote by  $\mathcal{H}(U)$  the space of  $\mathbb{C}$ -valued holomorphic functions on U. In infinite dimensional holomorphy we consider three natural topologies on  $\mathcal{H}(U)$ .  $\tau_o$  is the compact-open topology of convergence on compact subset of U. We say a semi-norm p is ported by the compact subset K of U if for each open set V,  $K \subset V \subset U$ , we can find c(V)>0 such that  $p(f)\leq c(V)\|f\|_V$  for every f in  $\mathcal{H}(U)$ .  $\tau_\omega$  is the topology generated by all semi-norms ported by compact subsets of U. Finally say that a semi-norm p is  $\tau_\delta$  continuous if for each countable increasing open cover  $\{U_n\}_n$  of U there is C>0 and  $n_o\in\mathbb{N}$  such that  $p(f)\leq C\|f\|_{U_{n_o}}$  for every  $f\in\mathcal{H}(U)$ .  $\tau_\delta$  is the topology on  $\mathcal{H}(U)$  generated by all  $\tau_\delta$  continuous semi-norms. We always have

$$au_o \le au_\omega \le au_\delta$$

on  $\mathcal{H}(U)$ .  $P(^nE)$  denotes the space of n-homogeneous polynomials on E. We note that  $\tau_{\omega}$  and  $\tau_{\delta}$  agree on  $P(^nE)$  for every integer n. For K a compact subset of E we let  $\mathcal{H}(K)$  denote the space of holomorphic germs on K. The  $\tau_o(\text{resp. }\tau_{\omega})$  topology on  $\mathcal{H}(K)$  is defined by  $(\mathcal{H}(K), \tau_o) = \text{ind}_{K \subset V}(\mathcal{H}(V), \tau_o)$  (resp.  $(\mathcal{H}(K), \tau_{\omega}) = \text{ind}_{K \subset V}(\mathcal{H}(V), \tau_{\omega})$ ).

Given a locally convex space E we let  $E_i' = \operatorname{ind}_V E_{V^{\circ}}'$ , where the inductive limit is taken over all neighbourhoods V of 0 in E, and let  $E_b'$  denote the dual of E equipped with the topology of uniform convergence on bounded subsets of E.

In [3] Mujica and Nachbin shows there is a complete locally convex space G(U) with the property that  $G(U)'_i = (\mathcal{H}(U), \tau_{\delta})$ .